ATTORNEY DOCKET NO: CXU-406

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1	E	AL.S	IN THE UNITED STATES FATE	ANI AND	TRADEMARKOTT	ice.		
In re A	pplicade	MARY CO	Rao, et al.)	Group Art Unit:	1742		
Serial N	No:		10/829,660)	Examiner:	Unknown		
Filed:			April 22, 2004)	Our Account No:	04-1403		
Confir	nation N	o:	6822)	Customer No:	22827		
Title: 1	Novel Sy	nthesis	Of Branched Carbon Nanotubes)				
U.S. Pa Post O	issioner f itent and ffice Box idria, VA	Traden 1450	nark Office					
Sir:								
The foll 1.56, 1	llowing i .97, and	s an In 1.98.	formation Disclosure Statement for the	captioned	d patent application, pu	rsuant to 37 CFR Sections		
1.[x]	Attache	ed heret	o is:					
	a.[x]		of materials for consideration per Rule					
	b.[x]	98 and	ble copy of each patent, publication, or Wor as indicated on the attached list(s): tem(s)	other iter	n listed per Rule 98(1)	(2), unless not required per Rule		
	c.[]	thereo	ch non-English language item listed, put f as it is presently understood by the interest of such items:	ursuant to dividual d	Rule 98(a)(3), a concidesignated in Rule 56(c	se explanation of the relevance) most knowledgeable about the		
		[] Sucalong	ch explanation is provided in the Search with any enclosed translation into Engl	n Report f lish.	rom a corresponding a	oplication enclosed herewith		
2.[x]	This In	ıformati	ion Disclosure Statement is being filed	[CHECK	ONE]:			
	a.[x]	a ftan a	IIN THREE MONTHS of the application request for continued examination, Oles, which ever event occurs last, WHER united.	R BEFOR	E the mailing date of a	first Office Action on the		
	b.[]	AFTE action ONE]	ER the time periods of section 2.a above a that otherwise closes prosecution, WE:	e, but BEI IEREFOR	FORE a Final Action, N RE PER Rule 97(c) sub	Notice of Allowance <u>OR</u> an mitted herewith is [CHECK		
		i.[]	Certification per Rule 97(e); OR			٠		
		ii[]	Filing Fee per Rule 17(p)					
	c.[]	AFTI Rule	TER a Final Action \underline{OR} Notice of Allowance, but BEFORE payment of the issue fee, $\underline{WHEREFOR}$ le 97(d) submitted herewith is:					
		i.	Certification per Rule 97(e); AND					
		ii.	Filing fee per Rule 17(p)					
3.[]		7(e) Ce CK ON	ertification; per Rule 97(e), the undersing E]:	gned certi	fying party make the fo	ollowing certification statement		
	a.[]	That	each item of information contained in t	his Inform	nation Disclosure State	ment was first cited in a		

a.[] That each item of information contained in this Information Disclosure Statement was first clied in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; <u>OR</u>

b.[] That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

		CERTIFYING PARTY (if different from bottomade by signer per signature below). Name:	om signature; omission here indicates that certification is being Signature:
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Gree	nville, S	C 29602 USA	By: Christina L. Mangelsen, Patent Agent
Tele	phone: 8	No.: 22827 864-271-1592	
Facs	imile: 8	364-233-7342	Reg. No: 50,244 Signature: Mustria: Vlangomi
			Data: July 12, 2004

Serial Number: Attorney Docket Number: (Rev. 5/92) 10/829,660 CXU-406 Information Disclosure Statement List Applicant: By Applicant(s) Rao, et al. Under 37 CFR Section 1.98(a) (1) Filing Date: Group Art Unit: (Use several sheets if necessary) 1742 April 22, 2004 Confirmation No: 6822

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

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EXAMINER INITIALS	PATENTEE NAME	ATENTEE NAME PATENT NUMBER						ISSUE DATE	COPY NOTE	
	Moy, et al.	6	2	2	ı	3	3	0	04/24/2001	5
	Brown, et al.	6	2	9	7	0	6	3	10/02/2001	5
	Li, et al.	6	3	2	5	9_	0	9	12/04/2001	5
	Resasco, et al.	6	3	3	3	0	1	6	12/25/2001	5
	Lee, et al.	6	3	5	0	4	8	8	02/26/2002	5
	Gao, et al.	6	3	6	T	8	6	1	03/26/2002	5
	Lal	6	4	5	1	1	7	5	09/17/2002	5
	Chen, et al.	6	4	9	5	2	5	8	12/17/2002	5
	Baughman, et al.	6	5	5	5	9	4	5	04/29/2003	5
	Coll, et al.	6	5	9	6	1	8	7	07/22/2003	5
	Nesper, et al.	6	6	0	5	2	6	6	08/12/2003	5

EXAMINER INITIALS	APPLICANT'S NAME	PU	BLIC	CATI	ON I	NUN	IBEI ·	ર	PUBLICATION DATE	COPY NOTE
	Ting, et al.	0	1	11	8	7	2	7	06/26/2003	5
	Horiuchi, et al.	0	1	7	2	6	3	9	11/21/2002	5
	Geobegan, et al.	0	1	7	9	5	6	4	12/05/2002	5

FOREIGN PAT	ENT DOCUM	MENTS				•	
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(Rev. 5/92)	Attorney Docket Number:	Serial Number:					
Information Disclosure Statement List	CXU-406	10/829,660					
By Applicant(s)	Applicant:						
Under 37 CFR Section 1.98(a) (1)	Rao, et al.						
(Use several sheets if necessary)	Filing Date:	Group Art Unit:					
	April 22, 2004	1742					
	Confirmation No:						
	6822						

EXAMINER	OTHER DOCUMEN		COPY
NITIALS	Specify author (if any), Title, Pertinent Pages, L	Date & Place of Publication	NOTE
	Article - Branching carbon nanotubes		
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	2000, pp. 2530-2532		
EXAMINER		DATE CONSIDERED	
		on is in conformance with MPI	

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